

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

July 16, 2008

LICATA & TYRRELL P.C. 66 E. MAIN STREET MARLTON, NJ 08053 US

Dear Sir/Madam,

Your refund request for 10553436 in the amount of \$2,250.00 has been denied. We go by the published application, as long as t he sequence listings are publish, it will be charged. Therefore your refund has been denied.

SANDRA JOHNSON

PCT - National

703 308-9140 X234

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TRANSMITTAL LETTER Docket No. (General - Patent Pending) 2008 JUL 14 DEX0477US.NP In Re Application Of: Macina et al. Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/553,436 March 21, 2006 Zhou, Shubo 32800 6995 1631 Title: Compositions, Splice Variants and Methods Relating to Cancer Specific Genes and Proteins **COMMISSIONER FOR PATENTS:** Transmitted herewith is: Request for Refund with accompanying documents. in the above identified application. No additional fee is required. A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: July //, 2008 Kathleen A. Tyrrell, Reg. No. 38,350 Licata & Tyrrell P.C. 66 E. Main Street I hereby certify that this correspondence is being Marlton, New Jersey 08053 deposited with the United States Postal Service with sufficient postage as first class mail in an envelope Telephone: (856) 810-1515 addressed to the "Commissioner for Patents, P.O. Box Facsimile: (856) 810-1454 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on Signature of Person Mailing Correspondence CC: Typed or Printed Name of Person Mailing Correspondence

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P164/REV04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

DEX0477US.NP

Inventors:

Macina et al.

Serial No.:

10/553,436

Filing Date:

March 21, 2006

Examiner:

Zhou, Shubo

Customer No:

32800

Group Art Unit:

1631

Confirmation No:

6995

Title:

Compositions, Splice Variants and Methods Relating to Cancer Specific

Genes and Proteins

Electronically Submitted via EFS-Web

Date: July [[__, 2008]

I hereby certify that this paper is being electronically submitted on the date indicated above to the Commissioner for Patents, U.S. Patent &

Trademark Office

Typed Name: Kathleen A. Tyrrell, Reg. No. 38,350

Commissioner for Patents

U.S. Patent and Trademark Office

Sir:

SUPPLEMENTAL REQUEST FOR REFUND

On May 11, 2006, Applicants filed a Request for Refund. Copies of the Request for Refund with accompanying documents as well as the Acknowledgement Receipt are provided.

To date, applicants have not received a response to the Request for Refund. Therefore, the Request for Refund is hereby resubmitted.

Please credit our Deposit Account No. 50-1619 in the amount of \$2,250.00 as requested and set forth in the Request for Refund.

Respectfully submitted,

Kathleen A. Tyrrell Registration No. 38,350

Date: <u>July // , 2008</u>

Licata & Tyrrell P.C. 66 E. Main Street Marlton, New Jersey 08053 (856) 810-1515

TRANSMITTAL LETTER (General - Patent Pending)

Docket No.

DEX0477US.NP In Re Application Of: Macina et al. Confirmation No. Customer No. Group Art Unit Filing Date Examiner Application No. 6995 March 21, 2006 Not yet assigned 32800 1631 10/553,436 Title: Compositions, Splice Variants and Methods Relating to Cancer Specific Genes and Proteins **COMMISSIONER FOR PATENTS:** Transmitted herewith is: Request for Refund to our deposit account #50-1619 in the amount of \$2,250.00 in the above identified application. No additional fee is required. is attached. A check in the amount of The Director is hereby authorized to charge and credit Deposit Account No. 50-1619 as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Ø ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Dated: May 11, 2006 Kathleen A. Tyrrell, Reg. No. 38,350 is being I hereby certify that this correspondence deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on (Date) Signature of Person Mailing Correspondence cc: Typed or Printed Name of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

DEX0477US.NP

Inventors:

Macina et al.

Serial No.:

10/553,436

Filing Date:

March 21, 2006

Examiner:

Not yet assigned

Customer No.:

32800

Group Art Unit:

1631

Confirmation No.:

6995

Title:

Compositions, Splice Variants and Methods Relating to Cancer Specific

Genes and Proteins

Electronically Submitted via EFS-Web Date of Deposit May 11, 2006

I hereby certify that this paper is being electronically submitted on the date indicated above to the Commissioner for Patents, U.S. Patent &

Trademark Office (Fee Amendment).

Typed Name: Kathleen A. Tyrrelf, Reg. No. 38,350

Mail Stop

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

REQUEST FOR REFUND

This application entered the U.S. National Phase in October 11, 2005. We filed the application with two (2) CD ROMs containing sequence listing in lieu of paper copies. The appropriate

application filing fee paid was \$2,350.00. This represents the large entity rate and includes \$300.00 for the basic filing fee, \$200.00 for the examination fee, \$100.00 for the search fee and \$1,750.00 for 340 extra sheets.

Since the sequence listing was filed in an electronic medium, the additional charge to our deposit account of \$2,250.00 on April 3, 2006, for application size fee is erroneous.

Please credit our deposit account #50-1619 in the amount of \$2,250.00 which represents the overpayment of the application filing fee.

Respectfully submitted,

Kathleen A. Tyrzell

Registration No. 38,350

Date: May 11, 2006

LICATA & TYRRELL P.C. 66 E. Main Street Marlton, New Jersey 08053 (856) 810-1515 Acknowledgement Receipt

The USPTO has received your submission at 15:03:11 Eastern Time on 11-MAY-2006 .

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

eFiled Application Information	
EFS ID	1044931
Application Number	10553436
Confirmation Number	6995
Title	Composition splice variants and methods relating to cancer specific genes and proteins
First Named Inventor	Robert A. Macina
Customer Number or Correspondence Address	32800
Filed By	Kathleen A. Tyrrell/Mariana Lennox
Attorney Docket Number	DEX0477US.NP
Filing Date	21-MAR-2006
Receipt Date	11-MAY-2006

Application Details

Application Type

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Submitted Files	Page Count	Document Description	File Síze	Warnings
DEX477USNPTRANS.pdf	1	Transmittal letter	44023 bytes	♦ PASS
DEX477USNP_REQREF.pdf	2	Request for Refund	346085	♦ PASS

35 USC 371

U.S. National Stage under

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

If you need help:

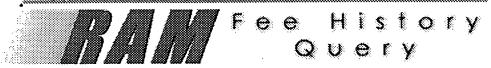
- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the USPTO Contact Center (UCC).

• If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199.



- Alba	Transacion Salus	E Communication	ansaçilőn list (öt üseri kiné Ma Namel Number list (önev	ri Jane Massey Elcataill	Charges/Cradits(6)	
Sola	Active	10/25/2005	10553438	DEX0477US.NP	\$2,350.00	\$2,350.00 CC#2328
Selo	Active	03/24/2006	10553436	DEX0477US.NP	\$130.00	\$130.00 CC#3094
- VIEW	Active	:04/03/2008	10553436	DEXO477US.MP	\$2.250.00	\$2.250.00 DA# 501619:
E RS	Active	700772007	10553438	DEX0477US.NP	\$250.00	\$250.00 CC#3094
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(*) indicates that a single payment paid for multiple items or multiple payments paid for a single item. Note: Adjustments indicate that a sale was reversed, not that payments were refunded.



Revenue Accounting and Management

Name/Number: 10553436

Total Records Found: 9

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Fee Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
08/07/2007	00004095	<u>4</u>	<u>1464</u>	\$130.00	08/06/2007	CC
08/07/2007	00004096	<u>4</u>	<u>1251</u>	\$120.00	08/06/2007	CC
01/19/2007	00001534	<u>4</u>	<u>1251</u>	\$120.00	01/18/2007	CC
04/03/2006	0000007	<u>1</u>	<u>1681</u>	\$2,250.00	10/11/2005	DA 501619
03/24/2006	00000558	<u>1</u>	<u> 1617</u>	\$130.00	03/21/2006	CC
10/25/2005	00000223	1	<u>1631</u>	\$300.00	10/11/2005	CC
10/25/2005	00000224	<u>1</u>	<u>1633</u>	\$200.00	10/11/2005	CC
10/25/2005	00000225	<u>1</u>	<u>1641</u>	\$100.00	10/11/2005	CC
10/25/2005	00000226	1	<u>1681</u>	\$1,750.00	10/11/2005	CC